Generation of low-Silica alkaline lavas: 
Petrological constraints, models and thermal implications.

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Abstract  
Various hypotheses for the origin of alkaline sodic mafic magmas have been proposed. This diversity of models is mainly related to the various constraints used to develop them. The goal of this paper is to test these different models using petrological and geochemical constraints trying to understand why alkaline sodic rocks are so similar even while their environment of formation varies from oceanic to continental rift. Incompatible trace-element contents of alkaline basalts from ocean islands and continents show that the sources of these rocks are more enriched than primitive mantle. A fundamental question then is how the sources of alkaline rocks acquire these trace-element enrichments.

Recycled oceanic crust, with or without sediment, is often invoked as a source component of alkaline magmas to account for their trace element and isotopic characteristics. However, the fact that melting of oceanic crust produces silica-rich liquids seems to exclude the directly melting of MORB eclogite to produce alkaline lavas. Recycling oceanic crust in the source of alkaline magma requires either (1) that the mantle “digests” this component producing metasomatized CO₂-rich peridotitic sources or (2) that low-degree melt from recycled oceanic crust reacts with peridotite in presence of CO₂ producing low-silica alkaline melt by olivine dissolution and orthopyroxene precipitation. These two hypotheses are plausible in terms of major elements. However, they have specific implications about the type and the proportion of recycled lithologies present in the asthenosphere to explain the specific trace element pattern of intraplate alkaline lavas. A third hypothesis for the formation of alkaline lavas is the melting of metasomatized lithosphere. In this model, the major- and trace element signature of alkaline magma is not controlled by the asthenospheric source (i.e., the amount of oceanic crust or CO₂ present in the asthenosphere), but by the petrological process that controls the percolation and differentiation of low-degree asthenospheric melts across the lithosphere. This process forms amphibole-bearing metasomatic veins that are a candidate source of alkaline rocks. This hypothesis offers an explanation for the generation of the Na-alkaline lavas with similar major and trace elements composition that are observed worldwide and for the generation of K- and Na- alkaline magma observed in continental settings. This hypothesis requires the formation of significant amounts of metasomatic veins within the lithosphere.

Qualitative analyses of the thermal implication of the potential models for the generation of alkaline rocks demonstrate that such magma requires low potential temperature (1320°C to 1350°C). If temperatures are higher, melting of the convecting mantle will erase any signature of low-degree melts produced from fertile mantle lithologies. This analysis suggests that a role for hot thermal plumes in the generation intraplate volcanoes dominated by alkaline magmas is unrealistic.

1. Introduction

As noted by P.G. Gast (1968) “from the point of view of mantle process and chemical evolution of the mantle, alkaline volcanism is a very important process even if the abundance of such rocks is small at the Earth’s surface”. In particular, alkaline rocks have played an important role in the discussion about the existence of mantle plumes because these rocks are interpreted as low-degree melts produced at great depth (e.g., Green & Ringwood, 1967, Gast, 1968, Kushiro, 1968) and therefore could provide information about deep mantle processes. As indicated by Mitchell (1996), no consensus among petrologists exists for the precise definition of alkaline rocks. Here, we distinguish alkaline from tholeitic (i.e. subalkaline) rocks using the reference line suggesting by Irving & Baragar (1971) (Figure 1). Alkaline lavas are observed in different intraplate settings such as oceanic islands, intra-continental volcanoes or continental rift zones (Figure 2). It is important to emphasize that alkaline rocks are volumetrically minor in “large” oceanic islands such as Hawaii, Iceland,
Azores, La Réunion, or Galapagos islands compared with the dominant tholeiitic magmas there (Figure 1b). In Hawaii, for example, alkaline lavas are restricted mostly to pre-shield and post-shield periods (Frey et al., 1990). The basalt compositions observed in Large Igneous Provinces (LIPs) are dominated by tholeiitic lavas and MgO-rich lavas such as picrites suggests that the source of these rocks could reach a degree of partial melting significantly higher than required for the generation of alkaline lavas (e.g., Walter, 1998, Herzberg & Gazel, 2009, Heinonen & Luttinen, 2010).

The isotopic composition of alkaline rocks has been used to demonstrate that the Earth’s mantle is heterogeneous on mm to km scales (Chase, 1981, Allegre, 1982, Hofmann and White, 1982, Zindler and Hart, 1986, Hofmann, 1997). Nevertheless, there remains an ongoing debate about the petrological process responsible for alkaline lavas and several, not mutually exclusive models have been proposed. Alkaline magmas have been interpreted, for example, as low-degree melts from peridotite (e.g., Green, 1973), partial melts from lithologies such as recycled oceanic crust (e.g., Chase, 1981, Hofmann et al., 1986, Weaver, 1991, Chauvel et al., 1992), melting of peridotite/pyroxenite in the presence of CO₂ (e.g., Eggler & Holloway, 1977, Wylie, 1977, Hirose, 1997, Dasgupta & Hirschmann, 2007) or melt from metasomatized lithosphere (e.g., Lloyd & Bailey, 1975, Halliday et al., 1995, Niu & O'Hara, 2003, Pilet et al., 2008). The diversity of models is somewhat linked to the different petrological and geochemical constraints used to develop them. For example, the hypothesis suggesting alkaline magma formation by melting of recycled oceanic crust is mostly based on incompatible trace-element ratios and isotope constraints (e.g., Hofmann, 1997) while the hypothesis that alkaline melts represent low degree melts from peridotite in presence of CO₂ are based on experimental petrology (Brey & Green, 1975, Eggler & Holloway, 1977, Wylie, 1977, Dasgupta & Hirschmann, 2007).

The main goal of this paper is to evaluate the different hypotheses for the origin of alkaline mafic magma using both petrological and geochemical constraints. Our analysis is based on the following assumptions: any model for the generation of alkaline mafic magmas needs to explain (1) why alkaline mafic rocks are characterized by lower SiO₂ contents that mid-ocean-ridge basalt (MORB); (2) why these rocks cover a wide range of composition from nephelinite to alkali basalts and sometimes tholeiite; (3) why alkaline mafic rocks are always characterized by high incompatible trace-element contents; and (4) why alkaline mafic rocks characterized by similar major-element composition show variable incompatible trace element ratios and isotopic compositions. Our discussion will also focus on the compositional similarities of alkaline lavas erupted in intraoceanic, intracontinental and rift settings. Any model for the origin of alkaline lavas needs to be applicable to the different settings where these rocks are observed. Finally, these constraints will be used to discuss the thermal structure of the mantle sources and whether or not thermal plumes are involved.

1.1. Petrological and geochemical constraints related to the generation of low-Si alkaline basalts

The question of the origin of alkaline lavas will be addressed using compilation of lavas composition from the Georoc database for ocean-islands, intraplate and rift continental settings (Figure 2). Different filters have been used: first, only analyses where major element compositions are provided has been selected; second, analyses showing sums of major elements (excluding LOI) less than 97 wt.% and exceeding 102 wt.% have been removed; third, only rocks characterized by MgO content between 8 and 15 wt.% have been used to limit the effect of fractional crystallization or olivine accumulation on lava composition. We have also restricted our
discussion on alkaline lavas characterized by K₂O/Na₂O ratios <0.8 in order to avoid comparison of rocks produced by different sources. The implications of K-rich rocks in continental settings on the general framework of alkaline magma generation will be discussed in the last part of the paper. MORB selected from the PetDB database are also presented for comparison in the different figures. Recycled oceanic crust (i.e. MORB + oceanic gabbro) is frequently considered as a potential source lithology for intraplate basalts. In order to evaluate the complete range of MORB composition before recycling, the figures show MORB data without applying the filters related to MgO content and K₂O/Na₂O ratio used for the intraplate lavas. We have not shown the composition of oceanic gabbro in the figures because this addition compromises their legibility. Nevertheless, almost all the conclusions drawn using MORB composition apply also to gabbros.

Figure 1a shows basalt composition for ocean-islands, intracontinental and rift settings compared to MORB using the above filters. The intraplate lavas show compositions ranging from nephelinite/basanite, characterized by low-SiO₂ and high alkali contents, to trachy-basalt/(alkali) basalt, and subalkalic tholeiitic basalts with higher silica and lower alkali contents. In comparison, tholeiitic lavas from mid-ocean ridges show a distinctly narrower compositional range (48-52 wt.% SiO₂) and lower total alkali content (<4 wt%).

A clear distinction exists between mafic magma emitted in large oceanic islands such as Hawaii (shield stage), Iceland, La Réunion, or the Galapagos and in smaller islands. As indicated in Figure 1b, the lava emitted in large islands is dominated by silica-saturated tholeites while in smaller islands (and intracontinental settings) the complete range of mafic lavas is observed. It is important to note that this compositional range is present in most single volcanic edifices and represents one important characteristic of so-called alkaline volcanoes. In the following discussion, we use the generic term “alkaline basalt” to encompass the compositional range of these alkaline lavas.

No significant compositional difference is observed between alkaline rocks from continental vs. oceanic settings, although a slightly higher alkali content for a given SiO₂ is observed in continental settings (Figure 1a). This compositional similarity between alkaline rocks from different settings is valid for all major elements including K₂O, CaO, Al₂O₃ and TiO₂.

Comparison of intraplate lavas and MORBs with partial melting experiments produced from nominally dry peridotite at various pressures (1 to 7 GPa) shows one of the major differences between silica-poor alkaline lavas and tholeiites. Peridotite melting experiments demonstrate that the generation of MORB tholeiites can be reproduced by partial melting of peridotite representing the convecting mantle at relatively low pressure (1-1.5 GPa; e.g., Wasylenki et al., 2003). These experimental data also indicate that alkaline rocks with intermediate SiO₂ content (~45 wt.%) could be produced by a low degree of partial melting of nominally dry peridotite at asthenospheric pressures (>3 GPa), but low-Si alkali basalts cannot. Experiments on incipient partial melts from garnet peridotite (Davis et al., 2011, Davis & Hirschmann, 2013) confirm that ultrabasic alkaline magmas such as nephelinite cannot be produced from four-phase peridotite, suggesting that the addition of volatiles or the presence of other lithologies in the source region is required to explain the specific composition of these rocks.

Another characteristic feature of nephelinite and basanite is their high content of incompatible trace elements (Gast, 1968). Figure 3a shows trace-element contents normalized to the composition of the primitive mantle from McDonough & Sun (1995) for oceanic and continental low silica alkaline lavas (SiO₂ <45 wt. %, average ± 1σ). Low-Si alkaline lavas from both settings show similar patterns with high contents of very incompatible trace elements (Ba, Nb, La), negative anomalies for Rb, K and Pb compared with elements with a similar degree of incompatibility (e.g., Ba, Nb, Ce respectively) and similar slope and content for REE. Lustrino (2011) has previously shown similar trace element homogeneity in alkaline mafic magmas using Cenozoic basaltic lavas from the circum-Mediterranean continental and oceanic area. The trace-element pattern of alkaline lavas is frequently interpreted as low degrees melt from a garnet peridotite source (Gast, 1968). Assuming such an hypothesis, we have calculated, using the non-modal batch-melting equation, the composition of the source for low-Si alkaline OIBs (Figure 3b). The source calculations are constrained by mineralogical- and melting mode
of the peridotitic source including minor amounts of sulfide, the degree of partial melting at which the melt is produced, the depth of melting and the mineral-liquid distribution coefficients \( K_{d_{\text{min/liqu}}} \). The model developed by (Baker et al., 2008) was used to calculate the modal peridotite proportion while melting experiments at 3 GPa (Walter, 1998) corresponding to the pressure at the base of a thick oceanic lithosphere was used to constrain the melting mode. Modal abundance in the source, melting mode and the \( K_{d_{\text{min/liqu}}} \) for olivine (ol), clinopyroxene (cpx), orthopyroxene (opx) and garnet (gt) are given in Pilet et al. (2011). All these parameters are listed in Table 1. The degree of partial melting at which the nephelinites and basanites are produced is more difficult to constrain. For that, we used variable \( F \) (from 0.5 to 5\%) to investigate the influence of this parameter on the calculated source composition.

One important observation related to these calculations is that the source of low-Si alkaline basalts needs to be more enriched for most incompatible elements than the primitive mantle estimate (Figure 3b) and that the problem is not related to the strongly incompatible elements but rather to intermediate incompatible elements such as HREE, Ti, and Y. The concentration of strongly incompatible elements such as U, Th, LREE is controlled by the degree of partial melting \( F \) at which the liquid is produced, so it is always possible to suggest that alkaline lavas are produced at extremely low degrees of partial melting (<0.5\%) to satisfy the content of these elements in the target melts. At the other extreme, the parameter that limits the degree of melt enrichment for intermediate incompatible elements is not the degree of partial melting \( F \) but the distribution coefficients \( D_{\text{min/liqu}} \) of the melting assemblage. This calculation is fundamental for the discussion about the origin of alkaline rocks because it demonstrates that the convecting mantle, even assuming a primitive mantle composition, is not sufficiently enriched in incompatible trace elements to produce the compositional range observed in alkaline rocks. Therefore, source enrichment processes are a prerequisite for the generation of low-Si alkaline rocks. In addition, this source enrichment, previously shown by Willbold & Stracke (2006), Prypton & Elliott (2007), and Adam & Green (2011) needs to be similar in every setting.

Table 1. Parameters related to the low-Si alkaline basalt source estimation (Figure 3b)

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<tr>
<th>Melting parameters</th>
<th>Modal</th>
<th>Melting Mode</th>
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<tr>
<td></td>
<td>%</td>
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<tr>
<td>Olivine</td>
<td>0.94</td>
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<tr>
<td>Clinopyroxene</td>
<td>0.10</td>
<td>0.81</td>
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<tr>
<td>Orthopyroxene</td>
<td>0.19</td>
<td>-0.19</td>
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<tr>
<td>Garnet</td>
<td>0.03</td>
<td>0.3</td>
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<tr>
<td>Sulfide</td>
<td>0.0003</td>
<td>0.0037</td>
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<tr>
<th>Distribution coefficients ( D_{\text{min/liqu}} ) used in the calculation</th>
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<tr>
<td>( D_{\text{ol}} )</td>
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<td>( D_{\text{cpx}} )</td>
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<td>( D_{\text{opx}} )</td>
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<td>( D_{\text{gt}} )</td>
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\* Modal abundances of the four silicate phases are based on the major-element composition of DMM (Workman & Hart, 2006) and the model of Baker et al. (2008) for estimating peridotite modal proportions. In the calculations, both the modal abundances and the melting mode (i.e., the sum of \( \sigma = \sum opx + \sum cpx + \sum olv + \sum sulfide \) equal 1).

\* Silicate-phase melting mode for a garnet peridotite at 3 GPa from Walter (1998) for melt fraction between 0-10\%: 7 olivine + 68 clinopyroxene + 25 garnet = 84 melt + 16 orthopyroxene.

\* MORB and OIB compositions suggest that Pb has a bulk D similar to that of Ce—significantly more compatible than would be predicted for a garnet- or spinel-lherzolite source given individual alkaline liquid/garnet data for Pb (Holmstrom et al., 1996). This higher apparent compatibility of Pb during partial melting may reflect the presence of minor residual sulfides in the DIB and MORB sources (Sims & DePaolo, 1997; Hart & Gaetani, 2006). In order to produce low-degree melts with Ce/Pb ratios similar to the ratios observed in enriched MORBs (~15-35; Salters & Stracke, 2004), we added 0.037% sulfide to the mantle source (equal to ~128 ppm S). We assume that the sulfide is removed from the source after 10% partial melting.

\* The detail related to the selection of the mineral-liquid distribution coefficient could be found in Pilet et al. (2011).
A third characteristic of alkaline mafic lavas is their large isotopic variation range with respect to MORBs (e.g., Zindler & Hart, 1986, Hofmann, 1997; Figure 4). Many studies (Hofmann & White, 1982, Hofmann et al., 1986, Chauvel et al., 1992, Halliday et al., 1995, Willbold & Stracke, 2006, Stracke, 2012) have focused on the differences in trace element ratios observed in OIB characterized by distinct isotope compositions (i.e., EM or HIMU) to constrain the source characteristics. These studies conclude that, to explain the variable isotopic and trace element ratios observed in OIBs, the sources of these rocks need to incorporate lithospheric material recycled into the convecting mantle and potentially stored at the base of the mantle for 1 to 2 Ga.

Various authors have suggested, however, that the difference in isotopic ratios between OIBs and MORBs seems not to be related to a difference in their respective source compositions, but rather to the melting process which allows the mantle and its enclosing fertile components to melt to a larger extent below oceanic ridges than in intraplate settings where the thickness of the lithosphere limits the extent of melting of the heterogeneous mantle (e.g., Ellam, 1992, Meibom & Anderson, 2004, Ito & Mahoney, 2005, Niu et al., 2011). Current analyses of the origin of OIBs based on isotopic composition and trace-element ratios (Hofmann & White, 1982, Hofmann et al., 1986, Chauvel et al., 1992, Halliday et al., 1995, Willbold & Stracke, 2006, Stracke, 2012) have made no distinction between low-Si alkaline and more silica-rich lavas. Figure 4a indicates that OIBs characterized by HIMU to EM isotopic signatures are not restricted to specific major element compositions, but cover the complete basaltic range in terms of silica and alkali content. Low-Si basalts (< 45 wt.% of SiO₂) overlap, also, the complete Sr, Nd and Pb isotopic ranges defined by OIBs (Figure 4b, c). Trace element patterns for low-Si alkaline basalts characterized by HIMU or EM isotope composition (Figure 4d) show distinct enrichments for Rb, Ba, K and Pb as previously pointed out by Weaver (1991), Chauvel et al. (1992), Halliday et al. (1995), and Willbold and Stracke (2006), but the other incompatible elements including U, Th, Nb and REE do not show variation correlated to isotope composition. Figure 4d also indicates that the general trace-element patterns of HIMU and EM basalts that are mostly indistinguishable from the pattern of low-Si alkaline observed worldwide (Figure 3a). Pilet et al. (2011) previously showed that extreme values for incompatible trace-element ratios considered as diagnostic for EM or HIMU basalts, such Ba/Nb or Ce/Pb, are not restricted to basalts characterized by extreme isotopic composition such HIMU or EM, but are also observed in oceanic or continental alkaline lavas with “normal” isotopic composition. These observations are important constraints for any model seeding to explain the origin of alkaline lavas—such a model needs to explain why alkaline mafic rocks characterized by various isotopic composition show so similar major- and trace-element compositions.

Figure 4. a) Total alkali vs. SiO₂ (volatile-free) diagrams for “alkaline” ocean-island basalts (all ocean-island basalts excluding tholeiite lavas from Iceland, Hawaii, Galapagos and La Réunion) compared to ocean-island basalts characterized by HIMU or EM isotopic signature (EM if ²⁰⁶Pb/²⁰⁴Pb > 20.3, EM if ²⁰⁷Pb/²⁰⁴Pb > 20.3). b) ²⁰⁶Pb/²⁰⁴Pb vs. Nd isotope diagrams for “alkaline” ocean-island basalts compared to mid-ocean-ridge basalts and basalts characterized by HIMU and EM isotopic composition. All ocean-island basalts have been distinguished by their SiO₂ content (higher or lower that 45 wt.%). These diagrams demonstrate that low-silica alkaline basalt cover the complete range of isotopic compositions. c) Trace-element contents (normalized to primitive mantle, PM; McDonough & Sun, 1995) of low-silica alkaline basalts (SiO₂ < 45 wt.%; MgO 8-15 wt.%) characterized by HIMU or EM isotopic composition (average ±1σ). The average trace element pattern (black line) of all low-silica alkaline basalts is shown for comparison.
The presence of recycled components in the source of OIBs is a well-accepted hypothesis. The current discussion is focused on the type of component(s) present in the source of OIBs. The subduction of oceanic lithosphere is the most important process that recycles shallow material into the convecting mantle, but other processes certainly contribute (e.g., sub-continent al lithospheric mantle and lower continental crust delamination, or subduction-enriched mantle mixed back into the upper mantle; Arndt & Goldstein, 1989, Elliott et al., 2006, Panter et al., 2006, Willbold & Stracke, 2010, Stracke, 2012). Recycled oceanic crust (+ sediments) is used in numerous studies to explain isotopic variability (e.g., Chase, 1981, Hofmann & White, 1982, Weaver, 1991, Chauvel et al., 1992), even if some authors call into question the details (e.g., Niu & O’Hara, 2003). Other hypotheses favor the presence of metasomatized continental or oceanic lithospheric mantle to explain the composition of OIBs (Hawkesworth et al., 1986, Halliday et al., 1995, McKenzie & Onions, 1995, Niu & O’Hara, 2003, Pilet et al., 2005). Nevertheless, all these studies generally neglect the questions of whether their models predict the correct major-element composition and whether the degree of trace-element enrichment of the erupted lavas is satisfied.

1.2 Partial melting of recycled oceanic crust

Various studies have focused on liquid compositions produced by the melting of pyroxenites at mantle pressure. Figure 5 compares the Na$_2$O+K$_2$O and SiO$_2$ content of melts produced in these experiments with the composition of OIBs and MORBs. In this Figure, we have distinguished melts derived from silica-excess pyroxenites characterized by a sub-solidus assemblage comprising pyroxene (px) and gt plus plagioclase (plg), quartz (qz)/coesite from silica-deficient pyroxenites (px and gt plus ol and sp) on the thermal divide that controls their respective melting behaviors. Kogiso et al. (2004) and Lambart et al. (2013) provide comprehensive reviews of pyroxenite melting. Both types of pyroxenite have been linked to the recycling of oceanic crust; the composition of Si-excess pyroxenites used in experiments has been selected to be representative of the upper and lower oceanic crust (i.e. MORBs or gabbro) while Si deficient pyroxenite could represent the potential composition of recycled MORB after Si extraction either by (1) hybridization with peridotite; (2) metasomatism by silica-deficient fluids/melts such as carbonatite; or (3) removal of low-pressure partial melts or fluids (Hirscharn et al., 2003). The melting of Si-excess pyroxenites produces liquids generally richer in silica than their initial starting composition (Green et al., 1967, Pertermann & Hirschmann, 2003b, Keshav et al., 2004, Yaxley & Sobolev, 2007, Lambart et al., 2013) the opposite of Si-deficient pyroxenite which produces melts with similar or lower silica content that the starting composition (Hirscharn et al., 2003, Lambart et al., 2013), except at high pressure (>5 GPa) where gt is the major residual phase (Kogiso et al., 2003) (Figure 5). Partial melting experiments on Si-excess pyroxenites are consistent with MORB-like pyroxenites in the source of Si-rich tholeiite from Hawaii and other large oceanic islands (Sobolev et al., 2005, 2007) or LIPs (Takahashi et al., 1998) but seems inconsistent with the composition of low-Si alkaline lavas observed in intraplate settings (Figure 5). Any model aiming to explain the origin of low-Si alkaline lavas by partial melting of Si-excess pyroxenite sources representative of the upper or lower oceanic crust (MORB or gabbro respectively) without involving additional processes that could modify the starting pyroxenitic composition or the partial melt composition before it reaches the surface, seems, therefore, unrealistic. In contrast, the melting of Si-deficient pyroxenites produces liquids that are closer to OIB composition in term of SiO$_2$ and total alkali content (Figure 5).

The compositions of pyroxenite melts are generally compared to OIBs using CaO, MgO, Al$_2$O$_3$, SiO$_2$ and sometimes TiO$_2$ (e.g., Hirscharn et al., 2003, Kogiso et al., 2003). Here we compare experimental melts to specific major element ratios that are distinctive of the MORB vs. OIB composition (Al$_2$O$_3$/TiO$_2$ and Na$_2$O/K$_2$O; Figure 6a, b). MORBs show highly variable Al$_2$O$_3$/TiO$_2$ and Na$_2$O/K$_2$O ratios compared with alkaline sodic magmas characterized by mostly constant ratios (Figure 6).
Experimental melts from Si-deficient pyroxenites are characterized by variable Al$_2$O$_3$/TiO$_2$ and Na$_2$O/K$_2$O ratios (Figure 6c,d) and only very few pyroxenite-derived melts have ratios similar to those observed in low-Si alkaline basalts. One hypothesis to explain this misfit is the high degree of partial melting at which pyroxenite experimental melts are produced. However, thermodynamic models for the melting of a heterogeneous mantle composed of pyroxenitic lithologies embedded in peridotite in an upwelling adiabatic context predict that the degree of partial melting reached by the pyroxenite will be high (Hirschmann & Stolper, 1996, Phipps Morgan, 2001, Ito & Mahoney, 2005, Stolper & Asimow, 2007). In addition, MORBs show highly variable Al$_2$O$_3$/TiO$_2$ ratios and this ratio is unlikely to be fractionated during subduction processes (Al and Ti are considered to be mostly immobile in subduction fluids; Kessel et al., 2005a; Kessel et al., 2005b). Thus, regarding the large composition range of MORB (Figure 6a), the melting of MORB eclogite is unlikely to produce melts with mostly constant Al$_2$O$_3$/TiO$_2$ ratios as observed in low-Si alkaline lavas. Na$_2$O/K$_2$O ratios of experimental pyroxenitic melts are also highly variable. This variability is related to the starting material compositions, the degree of partial melting at which experimental melts are produced, and the pressure at which experiments are performed (2.5 to 5 GPa) as the compatibility of Na$_2$O in clinopyroxene increases with pressure. Considering MORBs and oceanic gabbros do not contain significant amounts of K$_2$O (except for highly altered MORBs), and pyroxenites are expected to melt to a high degree during mantle upwelling, it seems unlikely that the low Na$_2$O/K$_2$O ratio of low-Si alkaline basalts is related to the melting of pyroxenite derived from subducted oceanic crust.

The addition of CO$_2$ to the system, either via hydrothermal carbonate veins crosscutting oceanic crust (Dasgupta et al., 2006) or by carbonate-fluxed MORB-pyroxenite (Gerbode & Dasgupta, 2010) decreases the pyroxenite solidus temperature regarding volatile-free melting (Dasgupta et al., 2004, Kiseeva et al., 2012). Melts produced at various degrees of partial melting from Si-deficient pyroxenite+CO$_2$ (Dasgupta et al., 2006, Gerbode & Dasgupta, 2010) or from Si-excess pyroxenite + CO$_2$ (Kiseeva et al., 2012) show significant variations in their composition, in particular for SiO$_2$ content and Al$_2$O$_3$/TiO$_2$ and Na$_2$O/K$_2$O ratios (Figures 5 & 6b, d). It is currently difficult to constrain the exact effect of CO$_2$ addition to pyroxenite melting because of the limited number of studies. The existence of coexisting immiscible silicate and carbonatitic melts (Dasgupta et al., 2006, Gerbode & Dasgupta, 2010, Kiseeva et al., 2012) at low melt fraction complicates the comparison of these melts with natural alkaline magmas. At higher degrees of partial melting, the composition of the starting

![Figure 6](image_url)

Figure 6. a) Al$_2$O$_3$/TiO$_2$ vs. SiO$_2$ (volatile-free) and b) Na$_2$O/K$_2$O vs. SiO$_2$ (volatile-free) diagrams for ocean-island basalts, continental intraplate basalts, and continental rift basalts compared to mid-ocean-ridge basalts. c) Al$_2$O$_3$/TiO$_2$ vs. SiO$_2$ (volatile-free) and d) Na$_2$O/K$_2$O vs. SiO$_2$ (volatile-free) diagrams for ocean-island basalts (OIBs) compared to experimental melts produced from silica saturated pyroxenite (Yaxley and Green, 1998, Pertermann and Hirschmann, 2003a, Spandler et al., 2008), silica-saturated pyroxenite in the presence of CO$_2$ (Kiseeva et al., 2012), silica-deficient pyroxenite (Hirschmann et al., 2003, Kogiso et al., 2003, Kessel et al., 2004, Kogiso and Hirschmann, 2005, Lambart et al., 2009), silica-deficient pyroxenites in the presence of CO$_2$ (Dasgupta et al., 2006, Gerbode and Dasgupta, 2010), and gabbro (Yaxley and Sobolev, 2007). All intraplate basalts have MgO content between 8 and 15 wt.% while no filter is applied for MORB data.
material becomes the dominant factor controlling the melt composition (Figure 5, 6b,d). Thus, if the addition of CO₂ to pyroxenite could modify the partial melt composition, depending on the variable composition of recycled oceanic crust and the variable amount of enclosing CO₂ (and H₂O), the addition of CO₂ to pyroxenite does not seem able to solve the problem regarding the mostly constant Al₂O₃/TiO₂ and Na₂O/K₂O ratios observed in alkaline lavas (Figure 6c, d).

This discussion indicates that melts produced from pyroxenites associated with the recycling of oceanic crust do not match the major element compositions of alkaline rocks, in particular for the low-SiO₂ ones. Moreover, according the large composition range observed in MORBs and oceanic gabbros in terms of Al₂O₃/TiO₂ or Na₂O/K₂O ratios, it is unlikely that the melting of recycled oceanic crust will produce melts with constant ratios. Thus, to involve oceanic crust as a major carrier of isotopically enriched compositions in the source of alkaline intraplate magmas requires a process that modifies and homogenises the pyroxenitic melt composition before it reaches the surface. There are the main candidate explanations for such an homogenization process:

(1) Assuming adiabatic upwelling, fertile lithologies such as recycled oceanic crust (+ CO₂) melt at large depth and metasomatize the overlying asthenospheric mantle, followed by the melting of this enriched peridotitic mantle, possibly in the presence of CO₂.
(2) Fertile lithologies (+ CO₂) melt at depth and the extracted melts react with surrounding peridotites before reaching the surface.
(3) Low-degree melts from the heterogeneous asthenosphere do not reach the surface, but metasomatize the lithospheric mantle, and the re-melting of the resulting metasomatic veins produce the alkaline magmas observed at the surface.

2. Hypotheses for the generation of low-silicate alkaline basalt

2.1 Melting of metasomatized peridotite in presence of CO₂

Alternative (1) above suggests that different lithologies, including recycled oceanic crust, sediments, and enriched mantle, having low solidus temperatures, melt at relatively large depths and the resulting liquids infiltrate and metasomatize the overlying mantle. The melting of metasomatized mantle in presence of CO₂ at shallow depth produces the alkaline melts observed at the surface (Figure 7a).

Laboratory experiments indicate that fertile lithologies such as pyroxenites or peridotite in the presence of volatiles (H₂O and/or CO₂) have lower solidus temperatures than volatile-free peridotite. For example Kogiso et al. (2004) indicate that pyroxenites rich in alkalies or with low Mg# will melt, at similar pressures, at temperatures of 100 to 200°C lower that volatile-free peridotite. The addition of a few hundreds of ppm of H₂O and/or CO₂ will also affect the melting temperature of peridotite and pyroxenite by decreasing the solidus temperature (Katz et al., 2003, Asimow et al., 2004, Dasgupta et al., 2007b). As indicated previously, melts produced from recycled oceanic crust seem unable to match the major element composition of alkaline lavas. However, this melt could interact and metasomatize the underlying mantle. The melting of metasomatized peridotite in the presence of CO₂ in a later upwelling stage may produce the alkaline lavas observed at the surface (Dasgupta & Hirschmann, 2007).

Melting experiments on dry peridotite seems unable to explain the formation of low-Si alkaline lavas (e.g., Davis et al., 2011) (Figure 1a), but the presence of CO₂ significantly modifies the silica content of the melt produced by peridotite (Kushiro, 1975, Wyllie & Huang, 1976, Brey & Green, 1977, Wendlandt & Mysen, 1980, Wallace & Green, 1988, Falloon & Green, 1989, Gudfinnsson & Presnall, 2005). For example, near-solidus partial melts of peridotite in the presence of 2.5 to 1% wt. % CO₂ are carbonatitic and evolve continuously to carbonated silicate melts with increasing temperature (Hirose, 1997, Dasgupta & Hirschmann, 2007, Foley et al., 2009). Figure 7b-d illustrates the variable composition of carbonated low-silicate melts produced in these experiments. None of these carbonated silicate melts resemble the composition of natural nephelinites or basanites (Figure 7b-d). In addition, Dasgupta and Hirschmann (2007) indicate that at a given MgO content, the CaO content of these silica-poor melts is significantly higher than that observed in alkaline lavas. Two main factors could explain this discrepancy. First, the degree of partial melting at which these melts are produced (>5-10%, but frequently >15%) is significantly higher than expected for the generation of alkaline rocks by peridotite melting on the basis of trace-element constraints. Second, the CO₂ added in these experiments could be more abundant than occurs in natural processes (Dasgupta & Hirschmann, 2007). Based on these considerations, Dasgupta and Hirschmann (2007) extrapolate these results to lower CO₂ contents and suggest that the major-element composition of alkaline lavas such as melilitites, nephelineites, and basanites could be produced by ~1-5% partial melting of a fertile (metasomatized) peridotite source containing 0.1-0.25 wt. % CO₂.

A related question is how the melting of metasomatized peridotite can satisfy the trace-element constraints illustrated in Figure 3. Any explanation for the formation of alkaline rocks from melting of metasomatized peridotite requires that the peridotite source enrichment was mostly similar below all alkaline continental and oceanic volcanoes. Based on a quantitative assessment of the trace-element budget of HIMU-OIBs, Stracke et al.
Given the variability of the recycled components, a small number of relatively well-defined enriched compositions can only be explained if either the subduction processing of oceanic crust is a far better defined process than observation would seem to indicate, or the intramantle disaggregation and mixing of compositionally diverse recycled materials is surprisingly efficient. Furthermore, Willbold and Stracke (2006) estimate that 9–10% of recycled oceanic crust needs to be "digested" by depleted peridotite to produce the trace-element composition suitable to comprise the source of alkaline OIBs. Which process or processes control the amount of oceanic crust added to the peridotitic mantle remains an open question.

Another question is how peridotite can be carbonated. The estimate of CO$_2$ content for depleted MORB mantle (36 ppm; Workman & Hart, 2005) suggests that metasomatism needs to enrich the mantle by a factor 25 to 70 in order to produce a peridotitic source containing the 0.1 to 0.25 wt.% of CO$_2$ inferred by Dasgupta and Hirschmann (2007). These authors suggested that peridotites are metasomatized by the addition of carbonatic melts produced from carbon-bearing eclogite recycled at depth. Realistically, the first melts produced from such a CO$_2$-bearing eclogite will be carbonatic (Hammouda, 2003, Yaxley & Brey, 2004, Dasgupta et al., 2004, Kiseeva et al., 2012). Nevertheless it is questionable whether these melts will have the appropriate trace-element pattern to fit the low-Si alkaline magma seen in Figure 3. For example, Gerbode and Dasgupta (2010) and Kiseeva et al. (2012) demonstrate that in the presence of rutile coexisting with carbonatic melts, much of the Ti and HFSE in the residue will be retained, creating negative anomalies in primitive mantle-normalized patterns. Such features are not observed in OIBs which are, on the contrary, characterised by positive Nb/La and Nb/Th ratios (e.g., Hofmann et al., 1986; Figure 3).

In summary, extrapolation experiments performed at high to lower CO$_2$ content suggest that the melting of metasomatized peridotite in presence of CO$_2$ is a realistic candidate for explaining the major-element composition of low-Si alkaline magmas (Dasgupta & Hirschmann, 2007). However, how the source acquires the specific trace-element and CO$_2$ content required to explain the composition of alkaline rocks worldwide remains unclear.

2.2. Melt-peridotite reaction

The second alternative to produce alkaline rocks suggests that the different lithologies (e.g., recycled oceanic crust, sediments, and enriched mantle) melt at depth and these melts then react with the surrounding peridotite and are homogenized before reaching the surface (Figure 8).

The melt-peridotite reactions produce dissolution of opx and ol precipitation (or vice versa) as a function of the silica activity of the melt (Lambart et al., 2012). Clinopyroxene could also be an important reaction product...
and is observed in some melt-peridotite reaction experiments, but the factors which control its dissolution or crystallization during reaction are less well constrained. Figure 8 illustrates the different trends produced by the reaction of liquids with various silica activities with peridotite. The nephelinite (+ 1.8 wt. % H₂O)-peridotite reaction (orange arrows in Figure 8) produces an increase in silica content in the reacting melt associated with the dissolution of opx and precipitation of ol until the liquid is in equilibrium with ol-opx-cpx and spinel (Pilet et al., 2008). In contrast, experiments using reacting melts with high silica activity will dissolve mantle olivine, leading to orthopyroxene precipitation (red arrows, Figure 8) (Yaxley & Green, 1998, Mallik & Dasgupta, 2012). These latter experiments are the basis of a two-step model for the generation of silica-rich tholeiites proposed by Sobolev et al. (2005). In this model silica over-saturated melt produced at depth from recycled eclogite reacts with peridotite producing opx-cpx-gt pyroxenite and the subsequent melting of this pyroxenite at shallower depth and mixing with melts there derived from the surrounding peridotite produce the silica-rich tholeiites observed at Hawaii and other large islands (Sobolev et al., 2007). All reacted melts produced in these dry or H₂O-under-saturated reaction experiments show compositions that approach peridotite melt in terms of silica content (Figure 8b) but keep the specific enrichments in incompatible elements such as TiO₂ or K₂O of their initial reacting melts (Figure 8c-d). Olivine does not incorporate TiO₂, K₂O, Al₂O₃ nor Na₂O in its lattice, so its precipitation or dissolution does not affect the initial Al₂O₃/TiO₂ or Na₂O/K₂O ratios of the melts. Orthopyroxene and clinopyroxene might incorporate TiO₂, Al₂O₃ and Na₂O in small to moderate amounts and therefore melt-rock reactions involving pyroxene precipitation or dissolution can cause variations in the Al₂O₃/TiO₂ or Na₂O/K₂O ratios. Figures 8c and 8d show the evolution Al₂O₃/TiO₂ or Na₂O/K₂O ratios during melt-peridotite reaction and indicate that if the initial ratio is too different from the ratio of the alkaline rocks, melt-peridotite reaction is unable to modify these ratios sufficiently to produce the ratios observed in alkaline sodic mafic lavas.

The addition of CO₂ during melt-peridotite reaction (from 0 to 5 wt.%, Figure 8) modifies the melt composition by slightly decreasing the SiO₂, TiO₂ and Al₂O₃ content and increasing the CaO content in the reacting melt (Mallik & Dasgupta, 2014). These chemical variations are linked to the variation in phase proportions coexisting with melt as function of CO₂ content. The increase in opx content coexisting with CO₂ rich melts observed by Mallik and Dasgupta (2014) is explained by the increase of the silica activity of the melt in the presence of CO₂, an increase which modifies the relative proportion of opx–ol coexisting with the melt (where the silica activity in the melt is buffered by ol and opx). Based on these experimental results Mallik and

![Figure 8](image-url)

**Figure 8.** a) Schematic model illustrating the generation of alkaline basalts by melt-peridotite reaction, b) total alkali, c) Al₂O₃/TiO₂ and d) Na₂O/K₂O vs. SiO₂ (volatile-free) diagrams for ocean-island basalts and mid-ocean-ridge basalts compared to experimental melts produced in silica-rich melt-peridotite reaction (dry) (Lambert et al., 2013; Mallik and Dasgupta, 2012; Yaxley and Green, 1998), in silica-rich melt-peridotite reaction in the presence of CO₂ (Mallik and Dasgupta, 2013, 2014), and in silica-rich (nephelinitic) melt-peridotite reaction (Pilet et al., 2008). The arrows illustrate the reaction trends produced in these different experimental studies (the starting points shows the initial melts before the reaction). The incipient partial melt (F=0%) of garnet peridotite at 3 GPa from Davis et al. (2011) is given in panels b, c, and d for reference.
Dasgupta (2014) developed an empirical model to predict reacted melt composition as a function of reacting andesite fraction and source CO₂ concentration. This model suggests that low-SiO₂ alkaline basalts can be produced from MORB-eclogite if CO₂ is present during the reaction with peridotite and if the reacted melt is then mixed with a low degree melt from peridotite (+ CO₂). This model is attractive because it could reconcile the composition of alkaline magma with the melting of oceanic crust. Nevertheless, several questions remain, in particular regarding the incompatible trace element (including K₂O) content of the melts before and after melt-peridotite reaction.

Various studies of the melting of a heterogeneous upwelling mantle (Hirschmann & Stolper, 1996, Phipps Morgan, 2001, Ito & Mahoney, 2005, Stolper & Asimow, 2007) indicate that the melting parameters determined for individual lithologies could vary significantly if the interaction between these lithologies is taken into account. For example, if low-solidus mafic material melts during adiabatic decompression but is chemically isolated from, but in thermal equilibrium with, enclosing peridotite the degree of melting of this material will be enhanced relative to the amount of melting that sources composed only of this material would undergo. The enclosing peridotite will then be “refrigerated” by the melting of the mafic component, and thus will melt at lower pressure and to lower degrees than if the veins were not present (Hirschmann and Stolper, 1996, Stolper and Asimow, 2007). This point is extremely important for the melting of recycled eclogite. Ito and Mahoney (2005) have shown that, in an upwelling mantle, pyroxenite embedded in depleted peridotite is likely to melt to a large extent before reaching the base of the lithosphere. This is in contradiction with the geochemical study of Stracke et al. (2003) which indicates that the degree of partial melting at which MORB-eclogite needs to melt to satisfy the trace-element composition of alkaline basalt is limited to ~1%. To develop their model, Mallik and Dasgupta (2014) used a fertile peridotite reacting with CO₂-dopedandesite melt corresponding to 8.9 % partial melting of a natural volatile-free MORB-eclogite at 3 GPa (data from Pertermann and Hirschmann, 2003a). The assumed degree of pyroxenite partial melting (F = 8.9 %) is significantly lower than that predicted by thermodynamic models (Ito and Mahoney, 2005). It is unlikely that higher degree partial melting of MORB-pyroxenite could satisfy the trace-element content of alkaline lavas. For example, the maximum K₂O content produced in the reaction experiments of Mallik and Dasgupta (2014) is 0.4 wt. % (on a volatile free-basis), lower than that observed in low-Si alkaline lavas (~0.5–2 wt. %). Furthermore, melt derived from recycled oceanic crust is likely to be characterized by highly variable Al₂O₃/TiO₂ or Na₂O/K₂O ratios (and trace-elements enrichments; Figure 6c, d). Melt-peridotite reaction does not modify these ratios significantly (Figure 8c and d), so it is unlikely that this could explain the formation of alkaline lavas characterized by the specific compositions observed worldwide (Figures 3 & 6a, b). In contrast, the model proposed by Mallik and Dasgupta (2014) suggests that the main factor needed to produce low-Si lavas is the presence of CO₂ during melt-peridotite interaction. Thus, in view of the large range of composition of melts predicted for the melting of recycled lithologies (Figures 5 and 6), the emission of low-Si alkaline lavas with variable Al₂O₃/TiO₂ or Na₂O/K₂O ratios and incompatible trace-elements enrichment would be expected. However, it is not observed.

In summary, as indicated by Lambart et al. (2012), melt-peridotite interaction is a process fundamental to understanding the extraction of melts from the mantle. However, as regards the variability of melts produced from recycled lithologies in upwellings, this mechanism alone cannot explain the formation of alkaline lavas with specific compositions.

2.3. Melting of metasomatized lithosphere (± reaction with the surrounding mantle)

In the third alternative, the melting of heterogeneous mantle produces basaltic melts with variable composition infiltrating the base of the lithosphere. These melts do not reach the surface but produce metasomatic anhydrous and hydrous cumulates across the lithospheric mantle. Melting of the hydrous cumulates characterized by lower solidus temperatures produces the low-Si alkaline lavas observed at the surface (Figure 9).

Experimental petrology results (Pilet et al., 2008) indicate that high-degree melting of amphibole-bearing metasomatic veins followed by variable amounts of interaction with surrounding mantle can reproduce the key major- and trace-element features of alkaline magmas (Figures 9 and 10). Figure 9 reports experimental liquids obtained at 1.5 GPa and temperatures varying from 1150°C to 1400°C from mostly pure natural hornblende (AG4) and cpx-hornblende (AG7) from the French Pyrenees. The initial melts produced in both series of experiments are controlled by the incongruent melting of amphibole that creates 60% nephelineite/basanite melt + 30% of cpx + 10% of ol and sp (Pilet et al., 2008). This melting behavior explains why the melts produced for both starting materials at 1250°C (first melt composition reported for AG7 cpx-hornblende experiment) are mostly similar (Figure 9). Only at a temperature higher than ~1275°C (at 1.5 GPa) does the amount of clinopyroxene in the starting material start to be important. These experiments suggest that the main factor that explains the formation of nephelineite/basanite melts is the presence of amphibole and that any metasomatic vein containing amphibole can produce low-Si alkaline melts.
Figure 10a illustrates the trace-element composition of the hornblende (± cpx) melts which show a high degree of trace-element enrichment associated with LREE/HREE fractionation resembling the oceanic nephelinites and basanites (see Pilet et al., 2008 for details). These experiments demonstrate therefore, that low-Si alkaline melts can be produced from a relatively high degree of melting of amphibole-bearing metasomatic veins present within the lithosphere. This is the major difference regarding other models for the generation of alkaline lavas. In this model alkaline rocks are produced by large degrees of melting of small volumes of trace-element and volatile-rich material rather than by low degrees of melting of less-enriched material as is commonly assumed. However, the incompatible trace-element composition of melts produced at high degrees of partial melting (> few tenth of per cent) mostly reflects the composition of their sources. So an important question related to this model is how these metasomatic veins are formed given that some diagnostic features of alkaline magmas observed worldwide are simply inherited from their metasomatic source lithologies.

Metasomatic enrichment in the lithosphere

Metasomatic enrichment in the lithosphere is observed via the presence of cumulates (metasomatic veins) associated with cryptic and modal metasomatism in peridotite. This is interpreted as the percolation and differentiation of low-degree volatile-rich melts within the lithosphere (e.g., Bodinier et al., 1987, Nielson & Noller, 1987, Wilshire, 1987, Nielson & Wilshire, 1993, Harte et al., 1993). The high incompatible trace-elements content observed in metasomatic veins has suggested that the low degree melts which produce these veins are similar to alkaline magmas (e.g., Bodinier et al., 1987). However, fractional crystallization experiments performed at lithospheric mantle pressure (0.93 -1.5 GPa) starting from an initial CIPW nepheline (ne)-normative liquid (Pilet et al., 2010) and CIPW opx-normative one (Nekvasil et al., 2004) demonstrate that the amphibole-bearing cumulates similar to hydrous-metasomatic veins could be produced by differentiation of any basaltic melt, no matter if this melt is alkalic or subalkalic. The only constraint is that the basaltic melt needs to contain ~ 1 wt.% of H$_2$O which is likely for melt produced at low-degree of partial melting from the asthenospheric mantle. Figure 11 shows that the composition of amphibole crystallizing in these two series of fractional crystallization experiments (Nekvasil et al., 2004, Pilet et al., 2010) is similar to amphibole observed in metasomatic veins worldwide. The similarity of amphibole composition crystallizing from an initial opx- or ne-normative liquids indicates that the mineralogical structure of the amphibole is more important from the point of view of controlling its major-elements composition than the composition of the melts from which amphibole
crystallized (Pilet et al., 2010). This is particularly well expressed by the Al<sub>2</sub>O<sub>3</sub>/TiO<sub>2</sub> ratio of the amphiboles which is mostly constant (from 2.5 to 4, Figure 11c) even while the ratios in the melts from which amphiboles crystallized vary significantly (from 3 to 40). Figure 11 demonstrates also the perfect match between the amphibole observed in metasomatic veins and the composition of low-Si alkaline lavas, illustrating a fundamental aspect of the metasomatic hypothesis: the formation of amphibole cumulates suitable to be a source for low-Si alkaline lavas in term of major elements could be explained by the percolation of any type of low-degree melts from the asthenosphere (i.e. it does not matter if the initial liquid is nepheline or opx-normative; Figure 11).

Monte Carlo simulation for metasomatic enrichment in the lithosphere

To evaluate the type of mantle source required to produce amphibole cumulates as rich in incompatible trace elements as observed in natural metasomatic veins from the French Pyrenees (Whole-rock AG4 and AG7 in Figure 10a), Pilet et al. (2011) performed Monte Carlo simulations of the metasomatic enrichment in the lithosphere starting from low degree melt from sources similar to depleted MORB mantle (DMM). The use of Monte Carlo simulation allowed propagation of the uncertainty of the different parameters which control the formation of the metasomatic veins. These include the source composition (varying from DMM- to E-DMM), the degree of partial melting (F varying from 0.5 to 1.7%) and the proportion of phases including accessory minerals which crystallized during the percolation and differentiation process. This model is constrained by experiments and the mineral compositions and modes observed in metasomatic veins (Pilet et al., 2011). It assumes that migration, cooling, and fractional crystallization of the metasomatic melts within the lithospheric mantle generates a continuum of phase assemblages from anhydrous (cpx + gt ± ol) to hydrous (cpx + amphibole ± phlogopite) veins plus cryptic enrichment in the surrounding peridotite. The main conclusion of these simulations is that the compositions of the hydrous cumulates calculated are highly enriched in incompatible trace elements and their patterns share many similarities with the patterns of alkaline OIBs (positive Nb/La, negative Ce/Pb, same LREE/HREE fractionation; Figure 10c). These simulations also show that the source of these crystallizing melts does not need to be extremely enriched in incompatible trace elements, but could correspond to DMM. This study demonstrated that the differentiation of low-degree melts from MORB mantle source (Figure 10b) can produce amphibole-bearing cumulates suitable to be a source of alkaline lavas in terms of trace-element compositions (Pilet et al., 2011; Figure 10c).

Figure 10. a) Primitive mantle-normalized trace element abundances for hornblende (AG4) and clinopyroxene hornblende (AG7) melts produced in melting experiments at 1.5 GPa (Pilet et al., 2008). Panels b) and c) report, in primitive mantle normalized diagrams, the main results of the Monte Carlo simulation of metasomatism enrichment in the lithosphere (Pilet et al., 2011). In these simulations, low-degree melts (L<sub>met</sub>init. panel b) produced from the melting of a DMM to E-DMM source (green band in panel b) do not produce basaltic melt at the surface, but percolate and differentiate across the lithospheric mantle, producing first anhydrous cumulates (cpx + gt/ol, blue band in panel c) and then hydrous cumulates (amph + cpx + accessory minerals, red band in panel c) (see Pilet et al. (2011) for details of the calculation). The gray band in all panels shows the range (defined as ±1σ of the average) of trace-element contents in low silica OIB lavas (40 to 45 wt % SiO<sub>2</sub>) with 8 to 15 wt. % MgO.
The petrological process responsible for the heterogeneities observed in alkaline lavas worldwide is not linked to the metasomatic process itself, but to the dynamic model illustrated in Figure 12 implies a short time process. We suggest that the isotopic term isolation of components require further work suitable for this zone. Low degree melts extracted from this zone are of the lithosphere intraplate magma from the LLAMA continental rifting). The metasomatized lithospheric hypothesis is also in agreement with the potential origin of why alkaline magmas are so similar produce amphibole 

The combination of experimental data on the differentiation of basaltic melts across the lithosphere with the Monte Carlo simulation supports the model suggested for the formation of alkaline lavas in the Cantal Massif (Central Massif, France; Pilet et al., 2004). This three-step model needs to be viewed in a dynamic way:

1. The heterogeneous asthenospheric mantle melts at low degrees producing initial metasomatic melts (Figure 12a).
2. These melts percolate and differentiate along the thermal gradient in the lithosphere and produce metasomatic cumulates with major and trace-element compositions suitable to be a source of low-silica alkaline lavas (Figure 12b). The progressive addition of metasomatic veins modifies the thermal gradient of the lithospheric mantle. At some point, the rise in temperature is sufficient to re-mobilize the amphibole veins characterized by low solidus temperature (~1150-1175 °C from 1.5 to 2.5 GPa) precipitated at an early stage (Figure 12b-c).
3. The re-melting of the amphibole-bearing veins produces low-silica alkaline magmas, while melt-peridotite reaction explains the compositional continuum observed in alkaline volcanoes (Figure 12c).

The implication of amphibole in the source of intraplate magmas has been proposed by various authors (e.g., Class & Goldstein, 1997, Jung et al., 2005, Panter et al., 2006, Ma et al., 2011, Adam & Green, 2011, Rooney et al., 2014) in order to explain the Rb, Ba, and K content of alkaline lavas, but the detail of the proposed models may differ from the model suggested here. The strength of the metasomatized lithosphere model is that the formation of amphibole cumulates is independent of the process responsible for generation of the initial metasomatic melts at the base of the lithosphere. Various processes such as mantle upwelling linked to mantle convection, shallow tectonic process creating lithosphere thinning, or delamination of the base of the crust able to generate low-degree melts at the base of the lithosphere, which, by percolation and differentiation, may produce amphibole-rich cumulate suitable to be the source of alkaline magmas. This provides an explanation of why alkaline magmas are so similar in different tectonic contexts (e.g., intra-continental, intra-oceanic, continental rifting). The metasomatized lithospheric hypothesis is also in agreement with the potential origin of intraplate magma from the LLAMA model (Laminated Lithologies and Aligned Melt Arrays; Anderson, 2011, Anderson & Natland, 2014). This zone is inferred from high-resolution body waves seismic recorded at the base of the lithosphere (Kawakatsu et al., 2009) to contain fertile, metasomatic, enriched, depleted, refractory and ancient components which are segregated into lamellae by shear rather than chaotically stirred into a marble cake. Low degree melts extracted from this zone are ideal candidates to produce enriched metasomatic veins suitable for the source of low-Si alkaline lavas.

Nevertheless, several aspects of the metasomatized lithospheric hypothesis for the origin of alkaline magmas require further work. First, the generation of isotopic heterogeneities observed in alkaline lavas requires long-term isolation of components characterized by variable trace-elements ratios (e.g., Rb/Sr, Sm/Nd, Lu/Hf, U/Pb) while the dynamic model illustrated in Figure 12 implies a short time process. We suggest that the isotopic variations observed in alkaline lavas worldwide are not linked to the metasomatic process itself, but to the heterogeneous nature of the convecting mantle. The isotope composition of the underlying asthenosphere will control the isotopic composition of the alkaline lavas while the major and trace-element content is controlled by the petrological process responsible for the formation of metasomatic veins. The metasomatized lithospheric
The hypothesis is therefore not at odds with isotopic studies on OIBs which demonstrate that the mantle is heterogeneous. On the contrary, this model could solve the problem that components such as recycled oceanic crust, recycled sediments, delaminated continental crust are unlikely to be the source lithologies of the alkaline lavas.

The metasomatized lithospheric model suggests that alkaline rocks could be produced by large degrees of melting of small volumes of trace-element and volatile-rich material. An important question is related to volume of metasomatic veins required to produce the alkaline magmas observed at the surface. Melting experiments on hornblendite (Pilet et al., 2008) show that it is not the proportion of the hydrous veins that is important, but the proportion of amphibole. Melting experiments on amphibole-bearing veins indicate that amphibole breakdown will create 0.6 low-Si alkaline liquid + 0.3 cpx + 0.1 ol + spinel (at 1.5 GPa, Pilet et al., 2008). Thus, the mass of amphibole that needs to be present at depth is required to be about twice the mass of erupted lavas. Amphibole is a major crystallizing phase during the percolation and differentiation of low-degree melts across the lithosphere (Nekvasil et al., 2004; Pilet et al., 2010). These studies demonstrate that one third to one half of the initial melt is converted to amphibole. As a consequence, assuming that 1 km³ of asthenospheric melts percolate and differentiate across the lithosphere, 0.3 to 0.5 km³ of amphibole will be created associated with the production of 0.5 to 0.7 km³ of anhydrous cumulates such as pyroxenite. Assuming that all the amphibole melts and that 60% of the amphibole is converted to alkaline melts, it would create 0.18 to 0.3 km³ of alkaline magmas. It is interesting to note that the volume proportion of intrusive vs. extrusive magmas estimated in this model (~0.2 to 0.4) is similar to the estimate by Schmincke (2004) for extrusive vs. intrusive magma emitted intraplate volcanism globally (0.4 km³/a of extrusives for every 2 km³/a of intrusive magmas; Schmincke, 2004).

A third question is the mechanism by which amphibole-bearing metasomatic cumulates melt. As suggested by Pilet et al. (2004) and illustrated in Figure 12, the melting of metasomatic veins is explained by the migration of the thermal front associated with progressive metasomatism of the lithosphere. This model is based on the process of thermal erosion of the lithosphere observed, for example, in the Ronda peridotitic massif (Southern Spain; Van der Wal & Bodinier, 1996, Bodinier et al., 2008) or in Eastern Central Alps ophiolites (Muntener et al., 2010). Nevertheless, the physical aspect of the metasomatic model remains poorly constrained and physical modeling is required to evaluate if this mechanism is realistic or not.

3. Thermal implication of the different hypothesis for the formation of the low-Si alkaline basalts

Various petrological studies have used tholeiitic basalts sampled at mid-ocean ridges and oceanic islands to
constrain the thermal state of the convecting mantle and the potential mantle temperature at which tholeiitic basalt from ocean-islands or LIPs are formed (Asimow et al., 2001, Green et al., 2001, Herzberg & O’Hara, 1998, Kinzler & Grove, 1992, Putirka, 2005, Putirka et al., 2007). These studies are based on experimental constraints showing that MgO and FeO content in basalt vary as function of melting temperature of dry peridotite. Based on the assumption that tholeiites are produced by melting of peridotite, most studies conclude that tholeiites observed in large ocean-island and LIPs are produced at higher temperature that MORBs. Nevertheless, some authors disagree with the method used to estimate the composition of primitive magma and by extension, the melting temperatures calculated (e.g., Presnall & Gudfinnsson, 2011). In contrast to tholeiitic magmas, the temperature at which alkaline basalts are produced is not well constrained. For example, Herzberg and Gazel (2009) constrained the melting temperature and extent of melting for LIPs and ocean-islands. However, they excluded all low-Si alkaline lavas assuming that these rocks are produced by CO₂-rich sources.

The lack of consensus regarding the thermal regime at which alkaline rocks are produced can be understood if the different hypothesis suggested for the origin of these rocks are considered. In this section we will evaluate qualitatively the thermal implications of the three hypotheses discussed in this paper for the origin of alkaline rocks, i.e. 1) melting of metasomatized peridotite in the presence of CO₂, 2) melt-peridotite reaction, and 3) melting of metasomatized lithospheric veins.

Figure 13 shows the solidi of various materials potentially present in the upwelling mantle feeding oceanic or continental intraplate volcanoes. This Figure illustrates that, assuming realistic potential adiabatic temperatures from 1320°C to 1550°C, any fertile lithology (i.e., pyroxenite + CO₂/H₂O, volatile-bearing peridotite) embedded in an upwelling mantle melts to some extent before reaching the base of thick, old oceanic lithosphere. Melting of depleted MORB mantle (indicated by the solidus for dry-peridotite in Figure 13) is limited beneath old oceanic lithosphere and will occur only if T_p is high. This provides a maximum temperature for the generation of low-Si alkaline lavas. This is because any melts produced from fertile lithologies, CO₂–rich lithologies or pyroxenites representing a potential asthenospheric source for alkaline basalts would be mixed with more abundant tholeiitic melts produced from the depleted MORB mantle if the enclosing mantle reached is solidus.

Figure 13 shows the P-T conditions estimated by Dasgupta and Hirschmann (2007) for the generation of alkali basalt via the melting of metasomatized peridotite in presence of CO₂. These conditions, in particular for the low-Si nephelinites or basanites that require low degrees of partial melting (1-5%, Dasgupta & Hirschmann, 2007), suggest a T_p in the lower range of MORBs. Mantle characterized by higher potential temperatures will begin melting of CO₂-rich rock deeper and allow this mantle to melt to higher extents before reaching the base of the lithosphere.

Melt-peridotite reaction is expected to occur at any temperature or pressure. Thus, the condition for the generation of alkaline rocks via infiltration and melt-peridotite interaction is strongly dependent on the conditions of melting of the fertile lithologies generating the reacting melt. This is more important than the P-T conditions of the reaction itself. In order to explain the high trace-element contents of alkaline rocks, the degree of partial melting of the fertile lithologies must be low. Considering the low solidi of recycled lithologies (Figure 13), T_p is forced to be close to MORB values. Nevertheless, as indicated before, the high fertility of pyroxenite (i.e. high δF/δP GPa⁻¹, Ito &...
Mahoney, 2005) makes it difficult to limit the extent of melting of such material to low degrees.

In the metasomatized lithosphere hypothesis, the melting of fertile lithologies from asthenospheric mantle is not related to the generation of alkaline lavas, but to the generation of the metasomatic agent which produces the metasomatic veins by percolative fractional crystallization. The model for the formation of metasomatic veins constrains the potential temperature required for the generation of metasomatic veins suitable to be a source of alkaline lavas. Low degree melts (F = 0.5 to 1.5 %) from a source similar to depleted MORB mantle is sufficiently enriched in trace elements to produce such metasomatic veins (Pilet et al., 2011) and requires T_p around 1350°C - 1380°C if the peridotite are slightly enriched in volatiles (500 ppm of H_2O and 150 ppm of CO_2). This temperature will also be sufficient to partially melt other fertile lithologies such as pyroxenites potentially present in a heterogeneous asthenosphere. Mantle regions characterized by higher potential temperatures will produce higher amounts of asthenospheric melt, limiting the lithospheric filter role (i.e. the melts will reach the surface without producing the metasomatic enrichment required to explain the chemical composition of alkaline magmas; Figure 12d).

In summary, all the models for the origin of low-Si alkaline mafic magmas require low T_p to limit the degrees of partial melting of the heterogeneous mantle. This suggests that the formation of nephelinite or basanite is at odds with the presence of thermal anomalies. A similar conclusion has been reached by Herzberg & Gazel (2009) and Lustrino (2011) using different approaches. However, in order to exclude hot mantle plumes in such environments it is necessary to discuss the processes responsible for the continuum from nephelinite to alkali basalt and sometimes tholeiite observed in many alkaline volcanoes. In particular the generation of alkali basalt-tholeiite associations could potentially imply higher melting temperatures than hypothesized for nephelinites.

Potential origin of the continuum nephelinite-basalnv-alkali basalt-tholeiite.

The compositional continuum in terms of SiO_2 and alkalis from nephelinites/basanites to alkali olivine basalts and sometimes tholeiites (Figure 1) is observed in many intraplate volcanoes (Caroff et al., 1997, Wilson et al., 1995, Lustrino et al., 2002). The trace-element patterns and isotopic composition are generally similar for the various basic magmas from a single province, but with overall trace-element concentrations decreasing with decreasing alkalinity (i.e. as magmas become less ne-normative and reaching opx-normative compositions). The general decrease in incompatible trace-element concentrations without substantial complementary change in isotope composition or trace-elements ratios from nephelinites/basanites to alkali olivine basalts/tholeiites has been interpreted as an increase in the degree of partial melting of a common source (Wilson et al., 1995, Caroff et al., 1997, Lustrino et al., 2002). Lustrino et al. (2002) suggested that the degree of partial melting increases from 4-6% for the generation of alkaline lavas to 10-15% for tholeiites in Sardinia (Italy), while Caroff et al. (1997) report smaller ranges for an alkaline suite in Tubuai (Austral Islands, French Polynesia) (1.5-3% for nephelinites vs. 5-8 % for alkali olivine basalts).

Experimental studies have shown that an increase of melt fraction for dry peridotite or MORB pyroxenite from 5 to 15% at constant pressure implies a increase in temperature of a maximum of 50-80°C (Pertermann & Hirschmann, 2003b, Walter, 1998, Wasylenki et al., 2003). A lower estimate for the temperature increase is provided by Hirschmann et al. (1998) that indicates an increase of 0.45% in the degree of partial melting of fertile peridotite for each degree of temperature increase. The temperature change required to increase the degree of partial melting from 2 to 8% for a peridotite source slightly increases as function of the amount of CO_2 added (Dasgupta et al., 2007). Nevertheless, the temperature to produce 8% melts from a peridotite containing 1000 ppm of CO_2 at 3 GPa is still low (~1480-1490°C; Dasgupta et al., 2007). These constraints suggest that the formation of nephelinite/basalnv to alkali basalt/tholeiite from a common source is not associated with significant thermal variations in the upwelling mantle. Taking into account that nephelinite generation requires low T_p, the difference required to generate more tholeiitic lavas does not imply significantly different temperature (~50-80°C maximum).

Pilet et al. (2008) called into question the interpretation of the alkaline series continuum as a simple increase in the degree of partial melting of a common source. The major problem with this interpretation is that no experiments on mantle lithologies (peridotite, pyroxenite) have reproduced the observed compositional spectrum or the observed range of silica contents which is ~40-48 wt. % SiO_2. (Figures 1a & 5; Hirose & Kushiro, 1993, Walter, 1998, Hirschmann et al., 2003, Pertermann & Hirschmann, 2003a, Kogiso et al., 2003, Wasylenki et al., 2003, Keshav et al., 2004, Kogiso & Hirschmann, 2006, Dasgupta & Hirschmann, 2007). For example, Hirschmann et al. (1998) showed that the progressive melting of peridotite is associated first to a decrease in silica for melt fractions of 0-5% followed by a nearly constant SiO_2 content from 5 to 15 % melting. Alternatively, the compositional continuum from nephelinite/basanite to alkali basalt/tholeiite has been interpreted as a reaction between nephelinitic/basanitic liquid and surrounding peridotite (Lundstrom et al., 2000, Pilet et al., 2008). The interpretation of this continuum as a reaction between an alkaline magma and peridotite is supported by sandwich experiments where alkaline melts produced by hornblendites react with
peridotite (Pilet et al., 2008). Figures 8 and 9 show that the melts produced by the reaction are significantly richer in SiO₂ (~4.5 wt. % more) than the initial alkaline liquid. This increase is explained by the dissolution of opx and the precipitation of ol + minor cpx. The dissolution of opx is in line with a decrease in incompatible trace-element contents in the reacted melts. Pilet et al. (2008) indicate that the compositional differences between the initial nephelite-rich melt and the melt produced by reaction are broadly similar to the major- and trace-element variations observed in nephelinites/basanites through alkali basalts/tholeiites. This interpretation suggests that no additional heat is required to explain the chemical continuum of alkaline rocks, and variable melt-rock ratios alone are sufficient to produce this trend. The interpretation of tholeiitic magma as a product of a reaction is valid only for volcanoes showing this continuum and where the tholeiites show similar trace-element pattern and ratios as low-SiO₂ lavas. The case of Hawaii is clearly excluded since trace-element patterns of tholeiitic lavas produced during the main shield stage are significantly different from the post-shield and rejuvenated stage alkaline rocks.

In summary, all current hypotheses for the origin of alkaline lavas suggest low potential temperatures. The formation of intraplate volcanoes by the eruption of alkaline lavas ranging from nephelinite to alkali basalt/tholeiite is inconsistent with the presence of thermal anomalies at depth. This suggests that any model favoring hot thermal plumes to produce volcanoes dominated by alkaline lavas is unrealistic.

4. Concluding remarks

Alkaline lavas observed worldwide, in particular the low-silica ones, are characterized by mainly similar major- and trace-element compositions, but with variable isotopic signatures. Many models have been proposed for the origin of these rocks, but many fail to explain simultaneously the petrological and geochemical constraints associated with their formation. First, the source of these rocks needs to be more enriched, in term of incompatible trace elements than the primitive mantle. Second, the source needs to produce silica undersaturated rocks like nephelinite or basanite characterized by mostly constant alkali and Al₂O₃/TiO₂ ratios.

High-pressure experiments and thermodynamic constraints indicate that the melting of pyroxenites derived from recycled oceanic crust is unable to explain the major- and trace-element contents of alkaline magmas. Two hypotheses have been suggested involving these recycled lithologies, which are frequently assumed to be the major carrier of enriched isotopic signatures. First, oceanic crust could be digested by peridotitic mantle to produce metasomatised peridotite which could then melt in the presence of CO₂ and produce alkaline magmas. Second, melt-peridotite reaction in the presence of CO₂ could modify the initial composition of melt derived from recycled oceanic crust before these melts reach the surface. Nevertheless, these two models require specific conditions (amount of recycled of crust and CO₂ present in the asthenospheric source, degree of partial melting at which recycled lithologies melt) to explain the trace-element signature of alkaline rocks observed worldwide.

The alternative suggests a metasomatized lithosphere model. In this model, the major-element content and the trace-element pattern of alkaline lavas is explained by the petrological process that controls the formation of the metasomatic veins (Pilet et al., 2011). The isotopic variability observed in these rocks is related to the heterogeneous nature of the underlying asthenospheric mantle that creates the melt that metasomatise the lithosphere.

One important aspect of this model is that it provides a link between the Na- and K-alkaline lavas. If Na-alkaline lavas are the dominant type of rocks in oceanic settings (with some exceptions such as the petit-spot lavas and some unusual K-rich rocks in the Line Islands seamounts; Hirano et al., 2006, Buchs et al., 2013; Natland, 1976) continental intraplate volcanoes show more variable composition including Na-, and K-lavas. The formation of variable alkaline lavas in continental settings has been interpreted as indicating variation in the hydrous phases (amphibole vs. mica) present in metasomatised lithospheric sources (Lloyd & Bailey, 1975). Lloyd and Bailey (1975) postulate that the change in hydrous phases is controlled by the stability of the hydrous phases as function of the lithosphere thickness. This hypothesis is supported by more recent works that indicate that amphibole stability is restricted to pressure less than 2.8-3.0 GPa, while phlogopite remains stable to 8 GPa, i.e. down to the base of thick continental lithosphere (Rapp, 1995, Harlow & Davies, 2004).

The vein-plus-wall-rock melting mechanism proposed by Foley (1992) to explain the origin of potassic alkaline magmas shares many similarities with the model developed by Pilet et al. (2004, 2008) for Na-lavas. The difference is the mineralogical composition of the metasomatic network (phlogopite- vs. amphibole-rich veins). A potential difference between the generation of alkaline magmas in continental vs. oceanic settings is the timing of the metasomatic enrichment of the lithosphere. This enrichment could be decoupled, in continental settings, from the process that melts the metasomatized lithosphere. An example illustrating this potential difference is the case of ultrapotassic magmas observed in Serbia (Prelevic et al., 2005). The formation of lamproite and kamafugite in Serbia is explained by the melting of a heterogeneous mantle source consisting of metasomatic phlogopite-rich veins that are out of isotopic equilibrium with the peridotite wall-rock (Prelevic et al., 2005). The geochemistry of the Serbian ultrapotassic rocks suggests that the metasomatic enrichment of the
lithosphere were related to Mesozoic subduction events while the melting of this heterogenous source during the Tertiary is related with extensional episodes linked to regional tectonic processes (Prelevic et al., 2005).

A decoupling between the process that metasomatized the lithosphere and the process that remobilized this lithosphere to produce K- and Na-alkaline magmas in continental settings is documented for various localities (e.g., Foley, 1992, Prelevic et al., 2005, Becker & Le Roex, 2006, Prelevic et al., 2008, Prelevic et al., 2010, Ma et al., 2011, Rooney et al., 2014). Nevertheless, other studies support a mostly continuous process to explain the formation of intracontinental alkaline basalt (e.g., Pilet et al., 2004, Mayer et al., 2013). Even some aspects of the model could vary from place to place. The metasomatized lithosphere model provides an explanation for both types of alkaline magmatism. On the other hand, models which suggest the formation of alkaline basalt by melting recycled CO₂-rich lithologies in the asthenosphere are unable to explain the formation of potassic magmas and therefore treat separately the mechanisms responsible for the origin of Na- vs. K- alkaline magmas.

Hot mantle plumes are frequently proposed to explain the formation of intraplate islands or intra-continental volcanoes (e.g., Courtillot et al., 2003). However, the thermal implications of the different models for the origin of alkaline magmas all point to low potential temperatures. Higher temperatures will potentially exclude the formation of alkaline rocks characterized by high incompatible trace elements by first increasing the degree of partial melting of fertile lithologies from the convecting mantle and second by allowing the enclosing depleted MORB mantle to melt. This melting will produce tholeiitic magmas which will dilute any low degree melts from the fertile components.

The metasomatized lithosphere model opens new perspectives to explain the formation of alkaline rocks by different tectonic processes. All processes able to create low degree melts at the base of the lithosphere (e.g., tectonic extension, small-scale convection, mantle flow, extraction of melts already present in the low velocity zone) could generate, after percolation and differentiation of these melts across the lithosphere, amphibole-bearing veins suitable for producing, by melting, the low-Si alkaline lavas observed in intraplate volcanoes.

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