Slab dip vs. lithosphere age: No direct function

Claudio Cruciani a, Eugenio Carminati a,b,*, Carlo Doglioni a

a Department of Earth Sciences, University of Roma ‘La Sapienza’, Italy
b Istituto di Geologia Ambientale e Geoingegneria - CNR, Roma, Italy

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Abstract

One paradigm of subduction relates the dip of the slab to the buoyancy of the downgoing lithosphere along subduction zones, with the negative buoyancy proportional to the age of the oceanic lithosphere. We measured the dip of the slab down to depths of 250 km along 164 sections crossing 13 subduction zones and compared it with the age of the subducting oceanic lithosphere both at the trench and at depth. We show here that this relationship is far more irregular than previously suggested, and that it is not possible to simply correlate the increase of the slab dip to the increasing age of the downgoing cooler lithosphere. Younger oceanic lithosphere may show steeper dip than older segments of slabs (e.g., Central America vs. South America), in contrast with predictions of models considering only slab pull. The combination of slab age and subduction rate better accounts for slab dip; however the correlation is not satisfactory (correlation coefficient equal to 0.450). These results suggest that supplemental forces or constraints have to be accounted for, such as thickness and shape of the hangingwall plate, absolute plate velocity, presence of lateral density variations in the hosting upper mantle, effects of accretion/erosion, subduction of oceanic plateaus and slab deformation due to the motion of the mantle relative to the subducting plate.

Keywords: subduction zones; slab dip; lithospheric age; plate tectonics

1. Introduction

During the last 20 yr, the idea that the slab pull is primarily driving plate tectonics [1,2] has dominated our view of subduction. This stems from the fact that the cooler subducting lithosphere is heavier than the underlying mantle and it is assumed to drag the attached plate. This is consistent with the observation that plate motions are faster where there are longer subduction zones [3].

It has been demonstrated that the dip for a rigid slab would be controlled by a balance between the downward torque on the slab due to the weight of the slab and the upward torque on the slab due to the hydrodynamic forces from the induced corner flow in the viscous mantle surrounding the slab [4,5]. These
authors concluded that, because the buoyancy of the slab is proportional to its age, the dip of slabs composed of younger seafloor would be shallower. Such a view was shared by other studies, generally considering only the South America subduction zone [6–8]. Performing a statistical study on the factors controlling subduction zone geometry, considering subduction zones worldwide, Jarrard [9] concluded that the correlation between slab age and dip is negligible. Notwithstanding this conclusion, in Earth sciences literature it is still widely accepted that old and heavy (i.e., characterized by larger negative buoyancy) oceanic lithosphere exerts a larger down pull and thus determines a steeper slab dip.

The results of the pioneer study of Jarrard [9] had no later systematic control. Although the ages of ocean floor were well known in the mid-1980s, the deep geometry of subducting slabs was less constrained. Geophysical techniques, mostly tomography and seismological studies, have greatly improved our knowledge on mantle geometry since Jarrard’s [9] study. Recently, the Regionalized Upper Mantle project (RUM; [10]) provided a worldwide image of subducting slabs, which constitutes a uniform database to check the results of Jarrard [9]. We performed this check on the 13 subduction zones shown in Fig. 1. The results exposed in this work do not support the scenario of a direct age control on the slab dip, in agreement with Jarrard’s [9] findings.

### 2. Data and method

The following 13 subduction zones were considered: Caribbean, Philippines, Central America, Marianas–Japan, Eastern-Central Aleutins, Sandwich arc, South America, Indonesia, Cascades, Kuril, Tonga–Kermadec, New Hebrides, Ruykyu (Table 1). For the
first eight subduction zones both ocean floor ages at
the trench and detailed information on slab geometry
are available. In the Cascades the geometry of the slab
is only poorly known due to the lack of subcrustal
seismicity but the knowledge of the age of the litho-
sphere entering the trench is precise. For the latter 4
subduction zones the slab geometry constraints are
good, whereas the age constraints are rather loose.
Other subduction zones, such as the Aegean and
the Italian arcs, had to be neglected due to the com-
plete lack of age constraints. Subduction zones where
continental collision occurred, such as Ontong Java,
were also neglected. Finally we excluded subduction
zones with trenches parallel to plate convergence,
such as the Western Aleutins and Western Indonesia.
All the data acquired for the 13 subduction zones
are provided in 26 tables as Background Data Set.

2.1. Slab dip

Using the GMT software [11] we constructed 164
mantle-scale cross sections of the slabs subducting in
the 13 subduction zones (Fig. 1).

The sections shown in Fig. 1 are perpendicular to
the trench (as in [9]). This allowed the measurement
of the true dip of the slabs. This choice is justified by
the fact that, in the case of convergence oblique to the
trench, the strain is partitioned in trench-parallel and
trench-perpendicular components (e.g., [12] and refer-
ences therein for Central America). For most of the
sections the angle between the section trace and the
plate convergence vector is less than 45°. For only 22
sections (indicated by black squares rather than by
circles in Fig. 4) this angle is between 45° and 67°.

At the same trench locations the slab dip was also
measured along sections parallel to the plate conver-
gence vector. It is emphasized however that such
measures provide apparent dips, constantly lower
than the true dip. The difference between apparent
and true dip increases with the angle between the plate
convergence and the trench-perpendicular direction.

The slab geometries used are those provided by the
RUM project (http://wwwrses.anu.edu.au/seismology/
projects/RUM; [10]), built on contouring of slab-
related seismicity from the relocated catalogue of
Enghdal et al. [13] and of the International Seis-
mological Centre catalog (http://www.isc.ac.uk). The
contours (Fig. 1) trace the top of slabs occurring
worldwide. Only for the Cascades subduction zone,
not considered by the RUM project, information on
the shallow portions of the slab were taken directly
from earthquakes reported in the Enghdal et al. [1998]
catalogue whereas the dip of the slab at depths deeper
than 50 km was taken from a local tomography study
[14].

Average slab dips were measured, when possible,
for the following depth ranges: 0–50, 50–100, 100–
150, 150–200, and 200–250 km. The average (from 0
to 250 km depth) dip $D_{av}$ was also calculated. The
slab dips were plotted either against the age of the lithos-
phere entering the subduction zone (Figs. 2 and
3) or either against distance (in km) parallel the sub-

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### Table 1

<table>
<thead>
<tr>
<th>Subduction zone</th>
<th>Number of sections</th>
<th>Quality and provenance of slab dip data</th>
<th>Quality and provenance of slab age data</th>
<th>Availability of slab age at depth</th>
</tr>
</thead>
<tbody>
<tr>
<td>Caribbean</td>
<td>10</td>
<td>High; [10]</td>
<td>High; [15]</td>
<td>Yes</td>
</tr>
<tr>
<td>Philippines</td>
<td>8</td>
<td>High; [10]</td>
<td>High; [15]</td>
<td>Yes</td>
</tr>
<tr>
<td>Central America</td>
<td>12</td>
<td>High; [10]</td>
<td>High; [15,16]</td>
<td>Yes</td>
</tr>
<tr>
<td>Aleutins</td>
<td>17</td>
<td>High; [10]</td>
<td>High; [15]</td>
<td>Yes</td>
</tr>
<tr>
<td>Sandwich Arc</td>
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<td>High; [10]</td>
<td>High; [15]</td>
<td>Yes</td>
</tr>
<tr>
<td>South America</td>
<td>30</td>
<td>High; [10]</td>
<td>High; [15]</td>
<td>Yes</td>
</tr>
<tr>
<td>Indonesia</td>
<td>9</td>
<td>High; [10]</td>
<td>High; [15]</td>
<td>Yes</td>
</tr>
<tr>
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<td>3</td>
<td>Low; [14]</td>
<td>High; [15]</td>
<td>Yes</td>
</tr>
<tr>
<td>Kuril</td>
<td>5</td>
<td>High; [10]</td>
<td>Low; manual extrapolation from [15]</td>
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</tr>
<tr>
<td>New Hebrides</td>
<td>6</td>
<td>High; [10]</td>
<td>Low; [18]</td>
<td>No</td>
</tr>
<tr>
<td>Ruykyu</td>
<td>9</td>
<td>High; [10]</td>
<td>Low; manual extrapolation from [15]</td>
<td>No</td>
</tr>
</tbody>
</table>
duction zone (Figs. 4 and 5). In these latter graphs, the age of the subducting lithosphere has been also plotted so to check the dip–age relationship.

2.2. Slab age

The plate ages \(A_t\) of the oceanic lithosphere entering nine subduction zones (Caribbean, Philippines, Central America, Marianas–Japan, Eastern-Central Aleutins, Sandwich arc, South America, Indonesia, Cascades) were taken from the GMT globala...
dA/dL is the age gradient of the slab, measured in the lithosphere approaching the trench. The age gradient dA/dL is averaged over a distance of 250 km from the trench parallel to the convergence vector. The calculated age is not the present age of the slab at the considered depth, but rather the age of that part of the slab when it first entered the subduction zone.

The choice of limiting the age calculation to these nine subduction zones is due to the fact that only for these zones direct information on the age of the lithosphere entering the trench is available. For the four remaining subduction zones the calculation of dA/dL without precise age information for the lithosphere approaching the trench would have been extremely speculative.

There is a high degree of uncertainty of the age-at-depth calculation procedure. The uncertainty mainly derives from the determination of dA/dL, clearly controlled by the crossing of transform faults, especially in zones where transforms are markedly oblique to the convergence vector (e.g., South America, Sandwich and Caribbean subduction zones). Moreover, the age gradient at depth could be different from that of the lithosphere approaching the trench and the constraints on the velocity of backarc opening are usually quite loose. Finally, the velocity of convergence and backarc opening may largely vary through time (e.g., the backarc opening of the Marianas [20]).

2.3. Subduction velocity

For each profile, the convergence velocity $V_c$ (both azimuth and magnitude) was calculated using the...
rotation poles of the NUVEL1A model [21]. No plate convergence estimates are provided for the New Hebrides subduction zone, because no information on the velocity of the subducting plate is available from the NUVEL1A model. The component of convergence rate parallel to the sections was also calculated. The velocity of backarc opening \( V_b \) was evaluated from the literature in order to calculate the subduction velocity \( V_s \) that enters the calculation of the age at depth. \( V_s \) is equal to \( V_c + V_b \) (i.e., convergence rate plus, possibly, backarc opening). \( V_b = 20 \text{ mm/yr} \) is evaluated for the Caribbean [22] and \( V_b = 50 \text{ mm/yr} \) for the Sandwich arc [23]. \( V_b \) in the backarc of the Marianas subduction varies from 20 mm/yr in the northern part to 47 mm/yr in the south [20]. A progressive linear increase between the two rates is assumed for the Marianas sections. The backarc opening of the Japan subduction is inactive [24] and the

Fig. 4. Distance (along the subduction zone) vs. slab dip plots for the 13 analyzed subduction zones. Data were measured along sections perpendicular to the trench. The age (Myr) of the lithosphere entering the trench (At) is plotted. The age of the subducted lithosphere at depth is also plotted for 9 subduction zones. Normally the considered slab depth is 250 km (A250 is plotted; see Supplemental material), with the exception of the Sandwich Arc, where the depth is 200 km (A200 is plotted). Notice that for the Marianas–Japan zone, the scales of slab dip and age are different. The black squares label sections where the angle between the section trace and the plate convergence vector is larger than 45°.
backarc area is subject to shortening rather than to extension. \( V_b = -25 \text{ mm/yr} \) [25] is used in our calculations for the Japan subduction. For the Tonga–Kermadec a \( V_b \) of 160 mm/yr is assumed, as measured in the Lau basin [26].

Along the Indonesian subduction zone, backarc extension is localized in the northwestern segment of the arc, i.e., in the Andaman Sea [27], where about 3 mm/yr of N–S extension are measured [28]. No backarc opening is observed in the remaining portions of the Indonesia subduction zone [28] and \( V_b = 0 \) is assumed for these areas. \( V_b = 0 \) is assumed also for Central America, South America, Cascades, Philippines because these subduction zones are not bordered by backarc basins [24]. Finally \( V_b = 0 \) is assumed for the Aleutins and Kuriles since they are bordered by a backarc basin inactive since the Cretaceous [24].
Convergence and backarc opening rates were utilized to calculate the slab age at depth. Moreover they were used to check the hypothesis that \( D_{av} = 41.7 + 0.17A_t - 0.23V_c \) (Fig. 6). According to Jarrard [9,19] this empirical relationship provides the best correlation between dip, age and subduction velocity. Finally, we consider the variations in slab dip as a function of the thermal parameter \( T \) (Fig. 7), calculated as the product of the slab vertical descend rate \( V_v \) and the age of subducting lithosphere: \( T = V_v \cdot A_t \).

### 3. Results and discussion

The slab dip vs. age graphs for different depth ranges (Figs. 2 and 3 for trench-perpendicular and convergence–parallel sections respectively) were produced to identify a worldwide relationship between age and slab dip. If a direct function between these two parameters existed, the plotted symbols should approximately follow an increasing trend. Such a trend is not recognized both at global scale or within single subduction zones. For example, at global scale the Marianas–Japan zone, although characterized by the oldest ages, shows slab dips comparable or lower to those of the youngest slab (Sandwich arc). A second worldwide result is that, at least in the 0–150 km depth range, west-directed zones (red symbols) generally show, for comparable slab ages, steeper geometries than east or northeast-directed zones (green symbols). However, a few notable exceptions occur, such as the New Hebrides slab showing dips

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**Fig. 6.** Average dips are plotted against the results of the Jarrard’s [9,19] relationship. The symbols are as in Figs. 2 and 3. Plots are shown for all the subduction zones (except the New Hebrides) and for the 8 best-constrained zones (Caribbean, Philippines, Central America, Marianas–Japan, Eastern-Central Aleutins, Sandwich arc, South America, Indonesia).
comparable or steeper than the same-age west-dipping zones. However, it has to be recalled that the age constraints for the New Hebrides subduction zones are quite loose. A second exception is provided by the Central America slab, which is steeper, in the 150–250 km range than the same-age west-dipping slabs.

Analysing single subduction zones in Figs. 2 and 3, increasing age–dip trends are not generally recognized, with the exception of the Marianas–Japan and E-Aleutians zones. On the contrary, a decreasing age–dip trend is recognized for the South America subduction in the 50–200 km depth interval and for the Kuril and Tonga–Kermadec (whose slab ages are however poorly constrained) subduction zones in the 150–250 km interval. Constant dip–age trends are recognized for the Indonesia, Sandwich Arc and Caribbean (constant in Fig. 2 and decreasing in Fig. 3) subduction zones. It is finally stressed that these considerations hold for both trench-perpendicular and convergence–parallel sections, because Figs. 2 and 3 differ only slightly.

Figs. 4 and 5 show the dip and age trends along trench of all the analyzed slabs for trench-perpendicular and for convergence–parallel sections respectively. Because Figs. 4 and 5 show very similar trends, although different in details, the following observations are valid for trench-perpendicular and for convergence–parallel sections. For nine sections, ages at the trench and at 250 km depth (with the exception of the Sandwich arc, where the considered depth is 200 km) are shown. The two ages generally show similar trends with the only exception of the Aleutians, where the trends are significantly different. Therefore the following considerations, if not specified, hold for age both at the trench and at depth. The trends of ages at depth are generally smooth and mimic the trends of ages at the trench. Singularities, such as at 1270 km distance in the Marianas–Japan or at 2900 and 3300 km distances in the South America panels, are due to measurements of anomalous age gradients along sections crossing transform faults.

It is immediately noted that the slab dip does not necessarily increase steadily with depth, as for example in the Central America, South America, Marianas–Japan, Caribbean, Kuril, Tonga–Kermadec, New Hebrides and Ryukyu subduction zones. This observation seems to contradict the prediction of slab pull models. A downpull should, as a matter of fact, determine a steady increase of dip with depth [29,30]. The observed irregular shape of the subducting slabs appears to be controlled by other factors. A potential candidate for the upper 250 km could be the shape and thickness of the overriding plate. Oblique and lateral subduction zones with respect to the direction of convergence show generally steeper slabs (e.g. in central Southern America).

Fig. 7. Average dip is plotted against the thermal parameter. The symbols are as in Figs. 2 and 3. Plots are shown for all the subduction zones (except the New Hebrides) and for the 8 best-constrained zones (Caribbean, Philippines, Central America, Marianas–Japan, Eastern-Central Aleutins, Sandwich arc, South America, Indonesia).
subduction zone, whereas in the southern part an inverse function is displayed. An overall direct function of age at the trench and dip occurs in the Eastern Aleutians, whereas in the Central Aleutians (i.e., for distances greater than 1000 km) dips increase while age at the trench remains constant. The age at 250 km depth slightly diminishes from east to west. Therefore, an inverse function occurs between age at depth and slab dip. In the Sandwich arc no increase of slab dip corresponds to a pronounced south to north increase of slab age. In Central America, the slab dip is direct function of age only in the southern part, whereas in the northern part a slight decrease of age corresponds to an increase of dip. In the Philippines a constant slab age is accompanied by a decrease of slab dip from north to south for most of the depth ranges. The pattern in the Marianas–Japan subduction is more complicated. A general decrease of slab age from south to north is generally accompanied by a decrease of slab dip. However, exceptions to this rule are observed in the southern part. The Caribbean subduction zone shows minimum slab ages in the central part and a significant increase of age both to the N and to the S, while the slab dip is fairly constant throughout the entire subduction zone. The south Indonesia subduction zone also shows rather constant slab dips that are not direct function of the slab age, which decreases from the NW and SE edges of the subduction zone towards the center. The Cascades and New Hebrides subduction zones show constant age trends and corresponding constant dip trends. In the Kuriles, age is constantly and significantly decreasing (some 35 Myr) from SW to NE, whereas dips remain quite constant. In the Tonga–Kermadec subduction, a slight increase of age (ca. 10 Myr) is not matched by the slab dip, which shows a decreasing trend, if any. Finally in the NE part of the Ryukyu subduction zone, a 40 Myr increase of age does not correspond any significant slab dip variation.

In summary, seven subduction zones (Sandwich arc, Philippines, Caribbean, Indonesia, Kuriles, Tonga–Kermadec and Ryukyu) show geometries that are opposite to those predicted by slab pull models, three (Marianas–Japan, Cascadia and New Hebrides) show consistent geometries and the remaining two (South America and Central America) show intermediate characters. Finally, the Aleutins show intermediate character when the age at trench is considered and a character similar to the first seven zones when age at 250 km depth is considered.

In Fig. 6 the average dips are plotted against the results of the Jarrard’s [9,19] relationship $41.7 + 0.17 \cdot A_t - 0.23 \cdot V_c$ and the corresponding correlation coefficients are provided. The New Hebrides subduction was excluded from these calculations because no information on the convergence rate is available. If the relationship were valid, the symbols should align along a line at 45° starting from the origin. In Fig. 6 the data are quite scattered indicating that the relationship is not valid for the new data here presented. This is confirmed by the low correlation coefficients. The largest value (0.450) is far lower than the value (0.717) obtained by Jarrard [9,19]. It has to be noted that Jarrard [9,19] himself doubted the validity of the relationship, suggesting that the obtained high correlation coefficient may only be a coincidence.

Finally, in Fig. 7 we plot average dip against thermal parameter ($T = V_c \cdot A_t$; once again the New Hebrides subduction is excluded). This latter value is a simple way of estimating the overall temperature structure of the deep slab (i.e., larger thermal parameters correspond to cooler slab temperatures) and it is normally correlated to the maximum depth of seismicity within slabs. When all the data are considered, the correlation between slab dip and thermal parameter is weak. However two major trends can be recognized. The first is steeper and comprises most of the subduction zones. The second is less steep and is made by data from the Marianas–Japan and Tonga–Kermadec subduction zones. This seems to indicate a thermal control on slab dip, i.e., cooler slabs may be steeper. Theoretically a thick old slab is more dense but, at the same time, stiffer and harder to bend. Fig. 7 suggests that the effect of temperature on density prevails on its effect on rheology. However, slab buoyancy at depth does not simply depend on age and subduction velocity, but it is influenced by lithosphere warming and phase changes.

4. Conclusions

The evidence presented in this paper casts some doubt on the effectiveness of the slab pull, as indi-
A simple linear relation between slab dip and age of the downgoing oceanic lithosphere does not exist. A combination of slab age and subduction velocity correlates better with slab dip, but is still not satisfactorily (correlation coefficient equal to 0.450). These results suggest that supplemental forces to the negative buoyancy of the slab have to be considered. Plate kinematics (absolute motion of upper plate [33,34]) could play a role, but other aspects have to be taken into account. The first one is the presence of lateral density variations in the hosting upper mantle, allowing different buoyancy contrasts with the downgoing slab. However, apart from proven lateral heterogeneities in mantle tomography, there is no evidence yet for such large anisotropies in composition in order to justify sufficient density anomalies in the upper mantle. The effect of latent heat released by phase transitions could, moreover, alter the thermal distribution and buoyancy of subducting slabs and control their dips [35]. Another parameter possibly controlling the dip of the first 250 km could be the thickness and shape of the hangingwall plate, i.e., the thicker the hangingwall plate, steeper the slab. Still at shallow depths, the effects of accretion/erosion [36,37], the thickness of sediments in the trench and the subduction of oceanic plateaus [38] could influence the geometry of the descending lithosphere. Another basic controlling factor could be operated by resistance forces induced by the motion of the mantle relative to the subducting plate [39,40]. According to Hager and O’Connell [41] the dip of the subduction zones is controlled by the return flow of the mantle produced by the plate motion rather than by slab density contrast. The lack of a clear correlation between the observed dip angle of slabs and plate velocity and slab age in modern subduction zones has been explained with the hypothesis that subduction is a time-dependent phenomenon [42].

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Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at [doi:10.1016/j.epsl.2005.07.025].

References


